

**TRUST CERTIFICATION FORM –  
FOR IRA BENEFICIARIES**

Asset Custody Services



**SECTION 1: Trust Information**

**A. Name of Trust**

**B. Trust Creation Date**

**C. Trust Tax ID**

**SECTION 2: Trustee Information**

The following information is needed for each trustee.

**A. AUTHORIZED TRUSTEE(S)**

TCA by E\*TRADE, a financial institution as defined by the Bank Secrecy Act, uses the information provided below to verify your identity. We may submit the information to a third party service bureau, in which case the information will be compared against their database. We may request from you permission to obtain a credit report or any other means including requesting additional information from you or others. The responses from these requests are confidential information and will not be shared with others unless required by law. Please refer to the instructions for completing this application to identify whose information should be provided below. *All authorized trustees named below must sign in Section 3.*

By signing this Trust Certification in Section 3, the trustees hereby certify that TCA by E\*TRADE is authorized to follow the instructions of the authorized trustee(s) listed below and to deliver funds, securities or any other assets in this account to any party or on any authorized trustee's instructions, because either (1) the Trust Agreement expressly provides that each trustee is authorized to act individually, independently and without the consent of the other trustees for all purposes related to the account with TCA by E\*TRADE, or (2) if the Trust Agreement does not contain such an express provision, the trustee so acting has obtained the requisite consent of the other trustees in accordance with the requirements of the Trust Agreement.

**Trustee #1**

First Name of Trustee	M.I.	Last Name
Residential Street Address		
City	State	Zip
Social Security Number		Date of Birth
Daytime Phone		
Mailing Address (if different from above)		
City	State	Zip

**SECTION 2: Trustee Information (Continued)**

**Trustee #2**

First Name of Trustee	M.I.	Last Name
Residential Street Address		
City	State	Zip
Social Security Number		Date of Birth
Daytime Phone		
Mailing Address (if different from above)		
City	State	Zip

**Trustee #3**

First Name of Trustee	M.I.	Last Name
Residential Street Address		
City	State	Zip
Social Security Number		Date of Birth
Daytime Phone		
Mailing Address (if different from above)		
City	State	Zip

Corporate trustee. One or more authorized trustee(s) are a corporate trustee. A corporate resolution is provided.



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**SECTION 3: Signatures**

I acknowledge that TCA by E\*TRADE and its affiliates do not provide legal, accounting, or tax advice, that I am solely responsible for the actions requested on this form, and that I have been advised to seek advice from qualified legal, accounting, or tax advisors.

TCA by E*TRADE Account Number

The completion of this section is **REQUIRED**.

Trustees' signatures are required and certify that the following items are true:

The Trustee(s) has the authority, either by the terms of the Trust or applicable state law, to own funds, securities or other assets of the account. The Trustee(s) that signs this document and the IRA Beneficiary Distribution form has sufficient authority to act on behalf of the Trust.

The Trust document, including the names of the Trustee(s) and date of the Trust, is in full force and effect and existed prior to the date this form is signed by the Trustee(s).

The Trustee(s) is authorized to purchase, sell, exchange and transfer shares and perform any necessary actions in conjunction with the trust agreement.

By signing this document, the Trustee(s) verifies that all information contained herein is true and complete. The Trustee(s) agrees to indemnify TCA by E\*TRADE and its affiliates, and to hold them harmless from and against all liability as a result of claims, demands or judgments against them arising from any mutual fund transaction in reliance on this certification.

This certification will remain in effect until TCA by E\*TRADE is notified in writing to the contrary. TCA by E\*TRADE reserves the right to require additional documentation, including a copy of the Trust agreement at any time.

Trustee #1	Date
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Trustee #2	Date
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Trustee #3	Date
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**- End of Form -**

# TRUST CERTIFICATION FORM – FOR IRA BENEFICIARIES

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## General Instructions

Use these instructions to complete the Trust Certification Form.

**Purpose of this form:** Please complete this form along with the Beneficiary IRA Application form if a trust is the IRA account owner and beneficiary. This Trust Certification Form certifies that the trustees referenced are authorized to transact for the trust and this account.

**Important:** This form cannot be used to change the trust tax identification number, the trust type, or to create a new trust. Additionally, this form may be required when completing the IRA Beneficiary Distribution Form if:

- The trust is the beneficiary of the original decedent; and,
- Not provided when beneficiary IRA was originally established; and
- A trust is the account owner of new Beneficiary IRA establishing at TCA by E\*TRADE

You must **complete all required fields** to expedite processing and to avoid requests for additional information.

**Print or type all entries.**

**Unknown information.** If information is requested and you do not know where to obtain the requested information, contact your financial advisor for direction.

## Section 1: Trust Information

### A. Name of Trust

Please provide the full legal name of the trust.

### B. Trust Creation Date

Please provide the date the Trust was created.

### C. Trust Tax ID

Please provide the tax ID used for the trust.

## Section 2: Trustee Information

Enter the information for the authorized Trustees for this trust.

**Important:** The information provided on this form supersedes and replaces all existing authorized trustee designations. You must provide a complete listing of authorized trustees, not just additions or deletions to any previous designations.

TCA by E\*TRADE is authorized to follow the individual and independent instructions of any of the authorized trustee(s) listed in this section to deliver funds, securities or any other assets in this account to any party. This is a representation that either (1) the trust agreement expressly provides that each trustee is authorized to act independently and without the consent of the other trustees for all purposes related to the trust account with TCA by E\*TRADE, or (2) if the trust agreement does not contain such an express provision, the authorized trustee so acting has obtained the requisite consent of the other trustees in accordance with the requirements of the trust agreement.

Provide the following information for the authorized trustee(s) named in this section.

**Authorized Trustee Name.** Enter the legal name of the trustee(s) authorized to act individually and independently on behalf of the trust requesting distribution from the account.

**Residential street address.** A residential street address is required for each authorized trustee.

**Social security number and date of birth.** The social security number and the date of birth are required for the authorized trustee(s).

*Note: All trustees including those listed as authorized trustee(s) in this section must sign the account application in Section 3.*

## Section 3: Signature(s)

The completion of this section is **REQUIRED**.

Trustees' signatures are required and certify that the following items are true:

The Trustee(s) has the authority, either by the terms of the trust or applicable state law, to own funds, securities or other assets of the account. The Trustee(s) that signs this document and the IRA Beneficiary Distribution form has sufficient authority to act on behalf of the Trust.

The trust document, including the names of the Trustee(s) and date of the Trust, is in full force and effect and existed prior to the date this form is signed by the Trustee(s).

The Trustee(s) is authorized to purchase, sell, exchange and transfer shares and perform any necessary actions in conjunction with the trust agreement.

By signing this document, the Trustee(s) verifies that all information contained herein is true and complete. The Trustee(s) agrees to indemnify TCA by E\*TRADE and its affiliates, and to hold them harmless from and against all liability as a result of claims, demands or judgments against them arising from any mutual fund transaction in reliance on this certification.

This certification will remain in effect until TCA by E\*TRADE is notified in writing to the contrary. TCA by E\*TRADE reserves the right to require additional documentation, including a copy of the Trust agreement at any time.

Return your completed form as instructed. Questions regarding this form should be directed to Customer Service.