

# Asset Custody Services Fee Schedule

Effective January 1, 2010



## Establishment

New account setup .....	\$30.00 per account
Transfers in .....	\$50.00 per asset transfer, per institution

## Administration

Account administration, cash balance <sup>1</sup> \$2000 or greater .....	\$150.00 per year
Account administration, cash balance <sup>1</sup> less than \$2000 .....	\$250.00 per year

## Asset Maintenance

Extraordinary assets <sup>2</sup> , cash balance <sup>1</sup> \$2000 or greater .....	\$25.00 quarterly per asset
Extraordinary assets <sup>2</sup> , cash balance <sup>1</sup> less than \$2000 .....	\$50.00 quarterly per asset
All other assets .....	\$6.25 quarterly per asset

## Asset Holding

Asset held at depository or in our vault .....	\$6.25 quarterly per asset
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## Transactions

Non-extraordinary asset <sup>3</sup> investments .....	\$25.00 per transaction
Subsequent investments in the same mutual fund .....	\$5.00 per transaction
Extraordinary asset <sup>2</sup> investments .....	\$25.00 per transaction
Check fee for purchases, transfers, and distributions .....	\$15.00 per check
ACH systematic distributions .....	\$20.00 annually
Check systematic distributions .....	\$50.00 annually

## Terminations

Full or partial transfers and distributions .....	1% of total asset value, plus
.....	\$25/\$50 per asset; \$250 minimum

## Special Services

Wire transfers and express mail .....	\$25.00 per service minimum
Research fees and filing fees .....	\$25.00 per service minimum
Required Minimum Distribution (RMD) calculations .....	\$25.00 per service minimum
Re-registrations of non-extraordinary assets .....	\$25.00 per registration
Re-registration of extraordinary assets .....	\$50.00 per registration
Returned check charge (checks received for deposit) .....	\$50.00 per check
Investment review of extraordinary assets <sup>2</sup> .....	\$250.00 per review
Forceful liquidation (if assets are liquidated by Trust Company to cover fees) .....	\$100.00 per liquidation

**Important:** The administration fee is billed in the first quarter of year and is not prorated or refundable. All fees are deducted from available cash in the account. If the account has insufficient cash, an invoice or fee statement will be included with quarterly account statements. Unpaid fees, outstanding over sixty (60) days after the date of the invoice, will be assessed a \$25.00 late fee. Trust Company of America reserves the right to liquidate assets for any past due fees. Trust Company of America reserves the right to charge for any services listed which it may be required to perform due to client error, delay, or by action of law and for extraordinary services at \$75.00 per half-hour.

<sup>1</sup> Cash balances are calculated at the account level.

<sup>2</sup> Extraordinary assets include, but are not limited to, deeds of trust, real property, promissory notes, LLCs, private and preferred stock placements, REITS, annuities and limited partnerships. Transaction fees apply to each purchase, sell, payoff, exchange, or tender offer.

<sup>3</sup> Non-extraordinary assets include, but are not limited to, publicly traded stocks, bonds, and mutual funds. Transaction fees apply to each purchase, sell, payoff, exchange, or tender offer.