



Asset Custody Services Fee Schedule

Effective January 1, 2017

Establishment

New Account Setup \$30.00 per account

Administration

Account Administration, Cash Balance ¹ \$2000 or Greater \$175.00 per year
 Account Administration, Cash Balance ¹ Less than \$2000 \$325.00 per year

Asset Maintenance

Extraordinary Assets², Cash Balance¹ \$2000 or Greater \$35.00 quarterly per asset
 Extraordinary Assets², Cash Balance¹ Less than \$2000 \$75.00 quarterly per asset
 All Other Assets \$6.25 quarterly per asset

Asset Holding

Assets Held at Depository or in Our Vault \$6.25 quarterly per asset

Transactions

Non-Extraordinary Asset³ Investments \$25.00 per transaction
 Subsequent Investments in the Same Mutual Fund \$5.00 per transaction
 Extraordinary Assets² Investments \$25.00 per transaction
 Check Fee for Purchases, Transfer, and Distributions \$15.00 per check
 ACH Systematic Distribution \$20.00 annually
 Check Systematic Distribution \$50.00 annually

Terminations

Full or Partial Transfer and Distribution 1% of Total Asset Value \$250 Minimum \$1500 Cap
 Plus \$25/\$50 per Asset

Special Services

Wire Transfers and Express Mail \$25.00 per service minimum
 Research Fees and Filing Fees \$25.00 per service minimum
 Required Minimum Distribution (RMD) Calculations \$25.00 per service minimum
 Re-Registration of Non-Extraordinary Assets \$25.00 per registration
 Re-Registration of Extraordinary Assets \$50.00 per registration
 Returned Check Charge (Checks Received for Deposit) \$50.00 per check
 Investment Review of Extraordinary Assets² \$250.00 per review
 Forceful Liquidation (If Assets are Liquidated by Trust Company to Cover Fees) \$50.00 per liquidation

Important

The administration fee is billed in the first quarter of the year and is not prorated or refundable. All fees are deducted from available cash in the account. If the account has insufficient cash, an invoice or fee statement will be included with the quarterly account statements. Unpaid fees, outstanding over sixty (60) days after the date of the invoice, will be assessed a \$25.00 late fee. Trust Company of America reserves the right to liquidate assets for any past due fees. Trust Company of America reserves the right to charge for any services listed which it may be required to perform due to client error, delay, or by action of law and for extraordinary services at \$100.00 per half-hour.

¹ Cash balances are calculated at the account level.

² Extraordinary assets include but are not limited to, Deeds of Trust, Real Property, Promissory Notes, LLC's, Private and Preferred Stock Placements, REIT's, Annuities and Limited Partnerships. Transaction fees apply to each purchase, sell, pay off, exchange, modification or tender offer.

³ Non-Extraordinary assets include but are not limited to, publicly traded stocks, bonds and mutual funds. Transaction fees apply to each purchase, sell, exchange or tender offer.

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